



## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/737,327
		Filing Date	December 16, 2003
		First Named Inventor	Guorong Xuan
		Art Unit	N/A
		Examiner Name	N/A
Total Number of Pages in This Submission		Attorney Docket Number	436/11

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Cited References, Return Postcard
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Matthew B. Dernier - Reg. No. 40,989
Signature	
Date	March 17, 2004

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Matthew B. Dernier
Signature	
	Date March 17, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Shi et al.  
Application Serial No. : 10/737,327  
Application Filing Date : December 16, 2003  
Title of Invention : Methods and Apparatus For Lossless Data Hiding  
Examiner : N/A  
Art Unit No. : N/A  
Attorney Docket No. : 436/11

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant respectfully submits this Information Disclosure Statement and a copy of the cited references pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 in order to comply with the duty of disclosure. The enclosed Form PTO-1449 identifies the references of which Applicant is aware.

The Examiner is respectfully requested to consider the references herein and make them of record in the subject application. It is Applicant's position that the references cited pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 are clearly not a bar to allowance of the claims in this application.

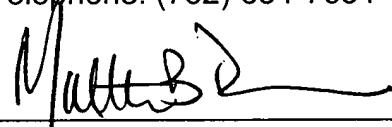


The above-captioned application has not yet received a substantive Office Action, and accordingly it is believed that no fee is necessary. However, should the Patent Office determine that a fee is due, the Commissioner is authorized to charge any such fee to Deposit Account No. 11-0223.

Respectfully submitted,

KAPLAN & GILMAN, L.L.P.  
Attorneys for Applicants  
900 Route 9 North  
Woodbridge, New Jersey 07095  
Telephone: (732) 634-7634

By:

  
Matthew B. Dernier  
Reg. No. 40,989

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Signed 

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<p>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>Use several sheets if necessary)</i></p>	<p>ATTORNEY DOCKET NO.: 436/11</p>	<p>SERIAL NO.: 10/737,327</p>
<p>APPLICANT: Shi et al.</p>		
<p>FILING DATE: December 16, 2003</p>		<p>GROUP:</p>

(Use several sheets if necessary)

MAR 19 2004

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

## **OTHER DOCUMENTS** *(including Author, Title, Date, Pertinent Pages, Etc.)*

	AA	Jiri Fridrich, Miroslav Goljan, Rui Du "INVERTIBLE AUTHENTICATION"- Center for Intelligent Systems, Department of Electrical Engineering, SUNY Binghamton
	AB	B. Macq and F. Dewey "TRUSTED HEADERS FOR MEDICAL IMAGES", pp. 1-13 (July 27, 1999)
	AC	A.R.Calderbank, Ingrid Daubechies, Wim Sweldens, and Boon-Lock Yeo
		"WAVELET TRANSFORMS THAT MAP INTEGERS TO INTEGERS", pp. 1-39 (August 1996)
	AD	Shi, Yun Q. "Image and Video Compression for Multimedia Engineering", pp.119-128
		CRC Press LLC)
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>
<i>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</i>		